| General information about company | | | | | | | |
|--|-----------------------|--|--|--|--|--|--|
| Scrip code | 523222 | | | | | | |
| Name of the company | SRM ENERGY LIMITED | | | | | | |
| Whether company is SME | No | | | | | | |
| Class of Security | Equity Shares | | | | | | |
| Type of report | Quarterly | | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2016 | | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | | |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | | Tab | le I - Sun | nmary S | tatement h | olding of | specified secu | rities | | | |
|-----------------|---|----------------------------------|-----------------------------------|-----------------------------|--------------------------|---|---|--------------------|---------------|---------|--------------------|
| | | No. of | | No. Of Partly | INO Ot | ting tory is Total nos. shares held (VII) = (IV) + (V)+ (V) | htal nos. a % of total no. ares of shares ld (VII) (calculated as (IV)+ per SCRR, 1957) ()+ (VI) (VIII) As a % of | Number of se | | | eld in each |
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | fully paid up equity shares | paid-up equity shares | underlying Depository | | | No of Vo Rights | ting (X | IV) | Total as a % of |
| | | | held (IV) | | Receipts (VI) | | | Class eg: X | Class eg:y | Total | (A+B+C) |
| (A) | Promoter & Promoter Group | 1 | 6450000 | | | 6450000 | 71.19 | 6450000 | | 6450000 | 71.19 |
| (B) | Public | 5583 | 2610000 | | | 2610000 | 28.81 | 2610000 | | 2610000 | 28.81 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 5584 | 9060000 | | | 9060000 | 100 | 9060000 | | 9060000 | 100 |

| | Table I - Summary Statement holding of specified securities | | | | | | | | | |
|-------------|---|--|--|---|---|---|--|--|---|---------------------------------------|
| Category sł | Category of shareholder (II) | Category of shareholder (II) No. Of No. of Shares Shares Underlying Outstanding convertible Warrants securities (X) (Xi) | No. of Un Shares Ou Underlying con | No. Of Shares Underlying Outstanding convertible securities and | conversion of convertible securities (as a | | 6 assuming full nversion of nvertible curities (as a | | aber of es ged or rwise umbered I) | Number of equity shares held in |
| | | | No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) | |
| (A) | Promoter & Promoter Group | | | | 71.19 | | | | | 6450000 |
| (B) | Public | | | | 28.81 | | | | | 1542750 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 7992750 |

| Г | able II - Sta | tement sho | wing sha | rehold | ing patter | n of the I | Promoter and | l Promot | ter Gı | oup | | | | | |
|---|--|--------------------------------------|-------------------------|--------------------------------------|------------------------------------|-------------------------------|--|--|---------------|---------|---------------------------------|--|--|--|--|
| | | No. of Partly No. Of nos as a % of t | | No. of Partly No. Of lotal as a % of | No. of Partly No. Of nos as a % of | No. | No. of Partly No. Of nos as | No. of Partly No. Of lotal as a % of tot | Partly | | Shareholding as a % of total | Number of Voting Rights held in each class of securities (IX) | | | |
| Sr. | Category & Name of the Shareholders | Nos. Of shareholders | fully paid up equity | paid- up equity | shares underlying Depository | shares held | no. of shares (calculated as per SCRR, | No of Vot | ing (XI | as a % | | | | | |
| | (I) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | (VII) = (IV)+(V) + (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Voting rights | | | | |
| А | Table II - Stater | nent showing s | hareholding | pattern c | of the Promote | er and Prom | oter Group | | | | | | | | |
| (1) | Indian | 1 | | 1 | 1 | 1 | | 1 | | 1 | | | | | |
| (d) | Any Other (specify) | 1 | 6450000 | | | 6450000 | 71.19 | 6450000 | | 6450000 | 71.19 | | | | |
| Sub-Total (A) (1) | | 1 | 6450000 | | | 6450000 | 71.19 | 6450000 | | 6450000 | 71.19 | | | | |
| (2) | Foreign | • | | | | | | | | - | | | | | |
| Total Shareholding of Promoter and Promoter Group $(A)=$ (A)(1)+(A)(2) | | 1 | 6450000 | | | 6450000 | 71.19 | 6450000 | | 6450000 | 71.19 | | | | |
| В | Table III - State | ment showing | shareholdin | g pattern | of the Public | shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | | | | | |
| (a) | Mutual Funds | 2 | 305495 | | | 305495 | 3.37 | 305495 | | 305495 | 3.37 | | | | |
| Sub-Total (B) (1) | | 2 | 305495 | | | 305495 | 3.37 | 305495 | | 305495 | 3.37 | | | | |
| (3) | Non-institutions | 8 | - | - | - | | - | | | - | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 5517 | 1520753 | | | 1520753 | 16.79 | 1520753 | | 1520753 | 16.79 | | | | |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 6 | 508004 | | | 508004 | 5.61 | 508004 | | 508004 | 5.61 | | | | |
| (e) | Any Other (specify) | 58 | 275748 | | | 275748 | 3.04 | 275748 | | 275748 | 3.04 | | | | |
| Sub-Total (B) (3) | | 5581 | 2304505 | | | 2304505 | 25.44 | 2304505 | | 2304505 | 25.44 | | | | |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | 5583 | 2610000 | | | 2610000 | 28.81 | 2610000 | | 2610000 | 28.81 | | | | |
| С | Table IV - State | ement showing | shareholdin | g pattern | of the Non Pr | omoter- No | n Public sharehol | der | | | | | | | |
| Total (A+B+C2) | | 5584 | 9060000 | | | 9060000 | 100 | 9060000 | | 9060000 | 100 | | | | |
| Total (A+B+C) | | 5584 | 9060000 | | | 9060000 | 100 | 9060000 | | 9060000 | 100 | | | | |

| Ta | ble II - Stater | nent showing | g shareholding | g pattern of the Pro | omo | ter and | Pron | 10ter Gr | oup |
|---|--|------------------------------|---|--|--|---|--|--|---------------------------------------|
| Sr. | No. Of Shares Underlying Outstanding | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding , as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in |
| | convertible securities (X) | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| А | Table II - State | ment showing sl | nareholding patter | rn of the Promoter and I | Promo | ter Group |) | | • |
| (1) | Indian | | | | | | | | |
| (d) | | | | 71.19 | | | | | 6450000 |
| Sub-Total (A) (1) | | | | 71.19 | | | | | 6450000 |
| (2) | Foreign | | | | | | | | • |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | | | 71.19 | | | | | 6450000 |
| В | Table III - State | ement showing s | hareholding patte | ern of the Public shareho | older | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 3.37 | | | | | 299795 |
| Sub-Total (B) (1) | | | | 3.37 | | | | | 299795 |
| (3) | Non-institution | S | | | | | | | |
| (a(i)) | | | | 16.79 | | | | | 567603 |
| (a(ii)) | | | | 5.61 | | | | | 483004 |
| (e) | | | | 3.04 | | | | | 192348 |
| Sub-Total (B) (3) | | | | 25.44 | | | | | 1242955 |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | | | 28.81 | | | | | 1542750 |
| С | Table IV - State | ement showing s | shareholding patte | ern of the Non Promoter | r- Nor | n Public sl | hareho | lder | |
| Total (A+B+C2) | | | | 100 | | | | | 7992750 |
| Total (A+B+C) | | | | 100 | | | | | 7992750 |

| Any Other (specify) | | | | | | | |
|--|--|---------------------------------------|--|--|--|--|--|
| Searial No. | 1 | | | | | | |
| Category | Bodies Corporate | Click here to go back | | | | | |
| Name of the Shareholders (I) | SPICE ENERGY PRIVATE LIMITED | | | | | | |
| PAN (II) | AALCS1640Q | Total | | | | | |
| No. of the Shareholders (I) | 1 | 1 | | | | | |
| No. of fully paid up equity shares held (IV) | 6450000 | 6450000 | | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 6450000 | 6450000 | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 71.19 | 71.19 | | | | | |
| Number of Voting | Rights held in each class of securities (IX) | · · · · · · · · · · · · · · · · · · · | | | | | |
| Class eg: X | 6450000 | 6450000 | | | | | |
| Class eg:y | | | | | | | |
| Total | 6450000 | 6450000 | | | | | |
| Total as a % of Total Voting rights | 71.19 | 71.19 | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 71.19 | 71.19 | | | | | |
| Number of Locked | in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |

| Number of Shares | Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
|--|---|---------|--|--|--|--|--|--|
| No. (a) | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 6450000 | 6450000 | | | | | | |
| Reason for not providing PAN | | | | | | | | |

| Mutual Funds | | | | | | | |
|--|--|-----------------------|--|--|--|--|--|
| Searial No. | 1 | | | | | | |
| Name of the Shareholders (I) | UTI-UNIT LINKED INSURANCE PLAN | Click here to go back | | | | | |
| PAN (II) | AAATU1088L | Total | | | | | |
| No. of fully paid up equity shares held (IV) | 299795 | 299795 | | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 299795 | 299795 | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.31 | 3.31 | | | | | |
| Number of Voting | Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 299795 | 299795 | | | | | |
| Class eg:y | | | | | | | |
| Total | 299795 | 299795 | | | | | |
| Total as a % of Total Voting rights | 3.31 | 3.31 | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 3.31 | 3.31 | | | | | |
| Number of Locked | in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 299795 | 299795 | | | | | |

| Individ | uals - ii. Individual | shareholders holding nomi | nal share capital in excess | of Rs. 2 lakhs. |
|--|---------------------------|---------------------------|-----------------------------|-----------------------|
| Searial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | ARUN K HITKARI | AJAY RAJENDRA GUPTA | NEELAM AJAY GUPTA | Click here to go back |
| PAN (II) | AAAPH1005Q | AILPG5850F | ADQPG0720N | Total |
| No. of fully paid up equity shares held (IV) | 154800 | 133537 | 116470 | 404807 |
| No. Of Partly paid- up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 154800 | 133537 | 116470 | 404807 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.71 | 1.47 | 1.29 | 4.47 |
| Number of Voting | Rights held in each class | s of securities (IX) | | - . |
| Class eg: X | 154800 | 133537 | 116470 | 404807 |
| Class eg:y | | | | |
| Total | 154800 | 133537 | 116470 | 404807 |
| Total as a % of Total Voting rights | 1.71 | 1.47 | 1.29 | 4.47 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 1.71 | 1.47 | 1.29 | 4.47 |
| Number of Locked | in shares (XII) | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 154800 | 133537 | 116470 | 404807 |

| Reason for not | | |
|----------------|--|--|
| providing PAN | | |

| Any Other (specify) | | | | | | | |
|--|---------------------|----------------------------|------------------|-----------------------|--|--|--|
| Searial No. | 1 | 2 | 3 | | | | |
| Category | NRI – Repat | NRI – Non- Repat | Bodies Corporate | | | | |
| Category / More than 1 percentage | Category | Category | Category | | | | |
| Name of the Shareholders (I) | | | | Click here to go back | | | |
| PAN (II) | | | | Total | | | |
| No. of the Shareholders (I) | 3 | 3 | 52 | 58 | | | |
| No. of fully paid up equity shares held (IV) | 8097 | 1000 | 266651 | 275748 | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 8097 | 1000 | 266651 | 275748 | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.09 | 0.01 | 2.94 | 3.04 | | | |
| Number of Voting | Rights held in each | a class of securities (IX) | • | | | | |
| Class eg: X | 8097 | 1000 | 266651 | 275748 | | | |
| Class eg:y | | | | | | | |
| Total | 8097 | 1000 | 266651 | 275748 | | | |
| Total as a % of Total Voting rights | 0.09 | 0.01 | 2.94 | 3.04 | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 0.09 | 0.01 | 2.94 | 3.04 | | | |
| Number of Locked | in shares (XII) | | | | | | |
| No. (a) | | | | | | | |

| As a % of total Shares held (b) | | | | | | | |
|--|------|---|--------|--------|--|--|--|
| Number of equity shares held in dematerialized form (XIV) | 7697 | 0 | 184651 | 192348 | | | |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |